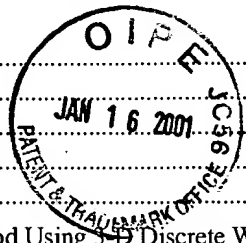


Section 114  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No ..... 09/599,160  
Filing Date ..... 6/21/2000  
Inventorship ..... Zhang et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MS1-554US  
Title: Video Coding System and Method Using 3-D Discrete Wavelet Transforms and Entropy Coding  
With Motion Information



TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231  
  
From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Return Post Card

Large Entity Status [x]                      Small Entity Status [ ]

*Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.*

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 1/10/2001

By:   
Lewis C. Lee  
Reg. No. 34,656

01/19/2001 2001 00000074 120769 09599160  
01 FC:116 390.00 CH

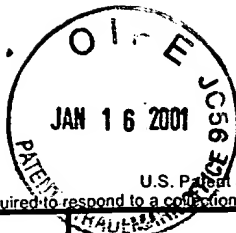
CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) \_\_\_\_\_

Date: 1/10/01

By:   
Dana L. Calhoun



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/599,160
Filing Date	6/21/00
First Named Inventor	Xu
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-554US

TOTAL AMOUNT OF PAYMENT

(\$)2906.00

METHOD OF PAYMENT		FEE CALCULATION (continued)																									
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <u>12-0769</u> Deposit Account Name: <u>Lee &amp; Hayes PLLC</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>																									
<b>2.</b> <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																											
<b>FEE CALCULATION</b>																											
<b>1. BASIC FILING FEE</b>																											
<table><thead><tr><th>Large Entity Code (\$)</th><th>Small Entity Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 710</td><td>201 355</td><td>Utility filing fee</td><td><u>710</u></td></tr><tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td></td></tr><tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr><tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	<u>710</u>	106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee			
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SUBTOTAL (1) (\$) <u>710.00</u>																											
<b>2. EXTRA CLAIM FEES</b>																											
<table><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td><u>83</u></td><td>-20** = <u>63</u></td><td>X <u>18</u></td><td>= <u>1116</u></td></tr><tr><td>Independent Claims</td><td><u>10</u></td><td>- 3** = <u>7</u></td><td>X <u>80</u> = <u>560</u></td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	<u>83</u>	-20** = <u>63</u>	X <u>18</u>	= <u>1116</u>	Independent Claims	<u>10</u>	- 3** = <u>7</u>	X <u>80</u> = <u>560</u>	Multiple Dependent													
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109 80	209 40	** Reissue independent claims over original patent																									
110 18	210 9	** Reissue claims in excess of 20 and over original patent																									
SUBTOTAL (2) (\$) <u>1676.00</u>																											
*or number previously paid, if greater; For Reissues, see above																											
		<b>Other fee (specify)</b> _____																									
		SUBTOTAL (3) (\$) <u>520.00</u>																									

**SUBMITTED BY**Name (Print/Type) Lewis C Lee  
Signature Lewis C LeeRegistration No. 34,656  
(Attorney/Agent)**Complete (if applicable)**Telephone (509) 324-9256Date 1/10/2001**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,160	06/21/2000	Jizheng Xu	MSI-1554US

Lewis C Lee  
Lee & Hayes PLLC  
Suite 500  
421 W Riverside Avenue  
Spokane, WA 99201



## FORMALITIES LETTER



\*OC000000005390389\*

Date Mailed: 09/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1662.
  - \$1116 for 62 total claims over 20.
  - \$546 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2482.

*A copy of this notice **MUST** be returned with the reply.*

*Leandine Abner*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

09599160

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01/12/2001 HND01

710.00 CH  
130.00 CH  
560.00 CH  
1116.00 CH

03 FC:101  
03 FC:105  
03 FC:102  
03 FC:103

9/11/00

PART 2 - COPY TO BE RETURNED WITH RESPONSE

